

# Using Fairness and Competence as Key Factors to Gain Support from Indigenous People in Guatemala



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# Presentation Overview

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- Stakeholder Engagement and FPIC
- Fairness and Competence Framework
- Key factors for effective stakeholder engagement
- Case study
- Final Thoughts



# Stakeholder Engagement- PS1

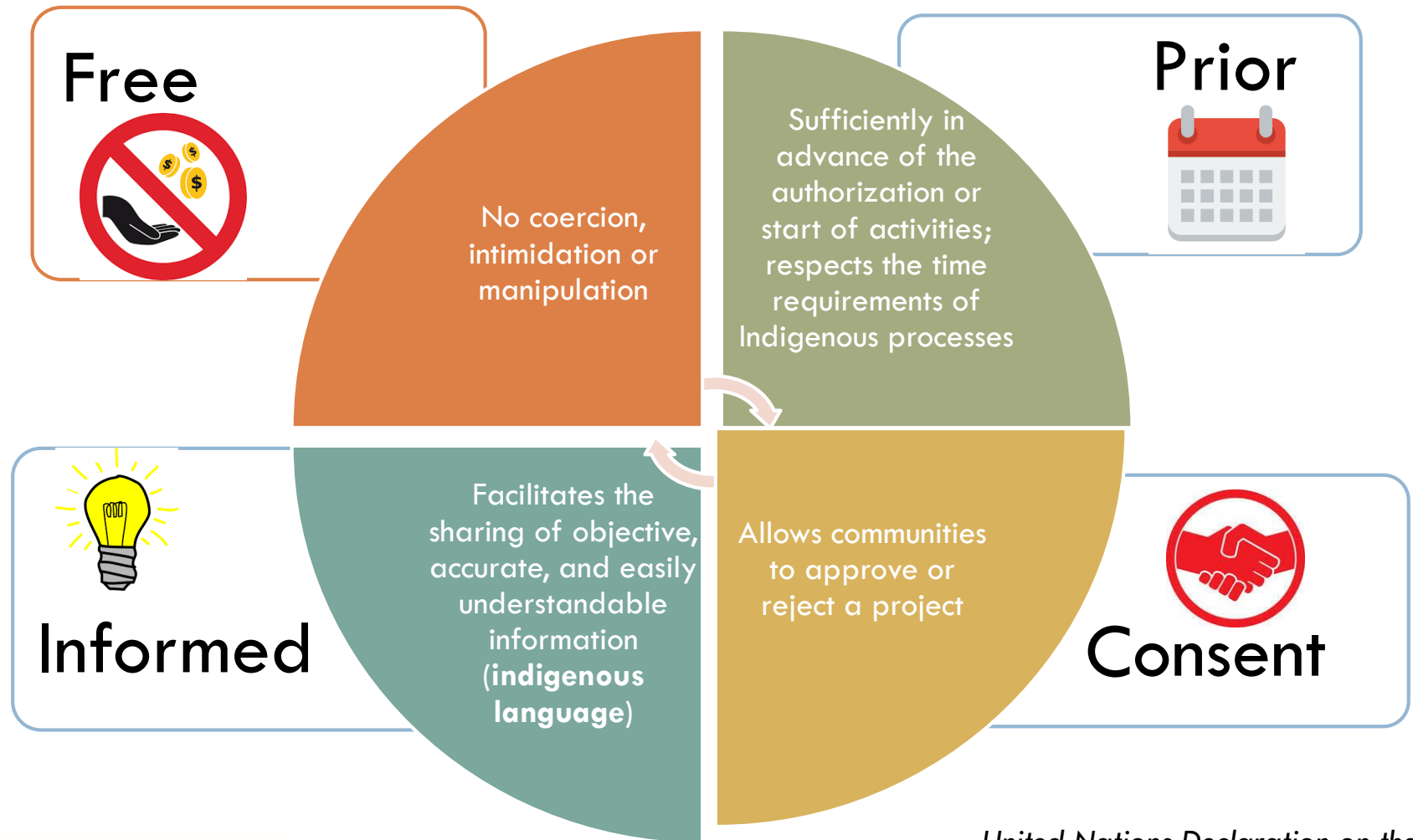
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- Basis for building strong, constructive, and responsive relationships
- Analysis, planning, disclosure, dissemination of information, consultation and participation, grievance mechanism, and ongoing reporting to Affected Communities
- Ongoing process



# Free, Prior and Informed Consent- PS7

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# Key Factors to Gain Support (Participant's perceptions)

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## Procedural Justice Theory

- Fairness in the decision-making **process** is key to obtain the community **support** of the decisions
- People's feelings of **being treated fairly** are important
- A fair process should allow for **all interested**/affected parties to assume a legitimate role in the decision-making process



# Key Factors to Gain Support (Participant's perceptions)

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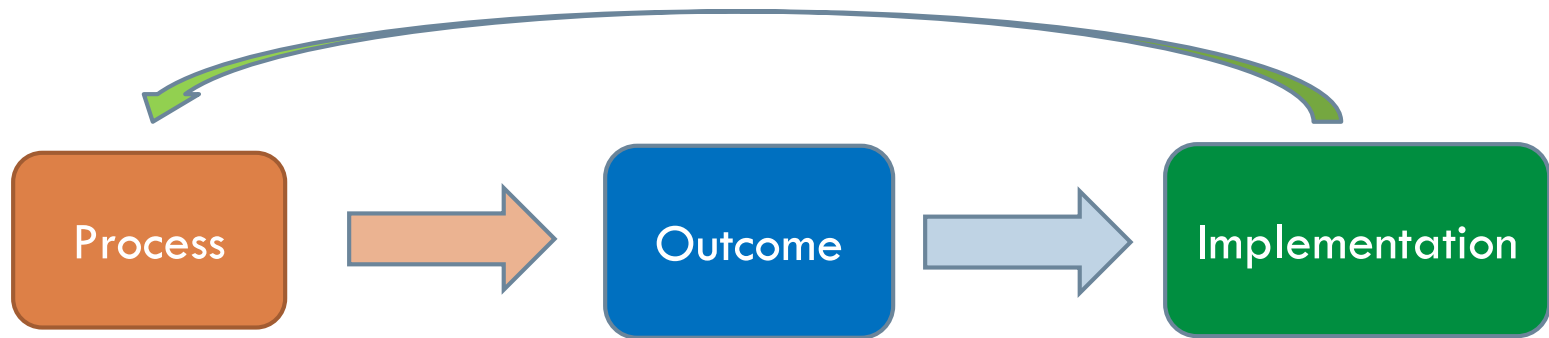
## Fairness and Competence Theory

- A fair process is important but does **NOT** ensure the competence of the decision process
- Competence refers to procedures (rules/ regulations) to accomplish **effective communication and understanding** among participants (specific for each community)
- An **effective communication** develops an implicit commitment between participants to **cooperate**



# Key Factors to Gain Support (Participant's perceptions)

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- Fairness
  - Information
  - Inclusiveness
  - Decisions
- Competence
  - Process
  - Knowledge
- Support and Ownership
  - Commitment
  - Good Plan
  - Support
- Local Network
  - livelihood
  - relationships
- Successful implementation of plan
  - Social, economical, conservation
- Participants actions

# GUATEMALA

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- 3rd largest country in Central America (Approximately 11 times smaller than South Africa)
- 17 million people (41% Indigenous, 41% Mestizo, 18% white)
- 23 Maya Languages
- Systematic opposition to development projects
- Drug and human traffick areas





# TRECSA PROJECT\*

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- 866 km of T-Line (230 kV)
- 11 new substations, and expansion of 12 existing substations
- 347 communities (90% indigenous)
- Financed by EDC and Citibank-EP Banks
- Construction started in 2012 (70% of construction)
- 69 variations (15 social reasons)
- 5606 Easements, 26 relocations
- Project monitoring for Lenders by Ramboll



# Fairness and Competence of the Process

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- Approval from all leaders and community members (Mayan languages)
- Community engagement team - locals (cultural process and language)
- Workshops, individual, and group meetings
- Newspapers, banners, specific information campaigns
- National radio and television broadcasting
- Constant communication of the process and outcomes (local and national)
- Conflict Resolution team of experts



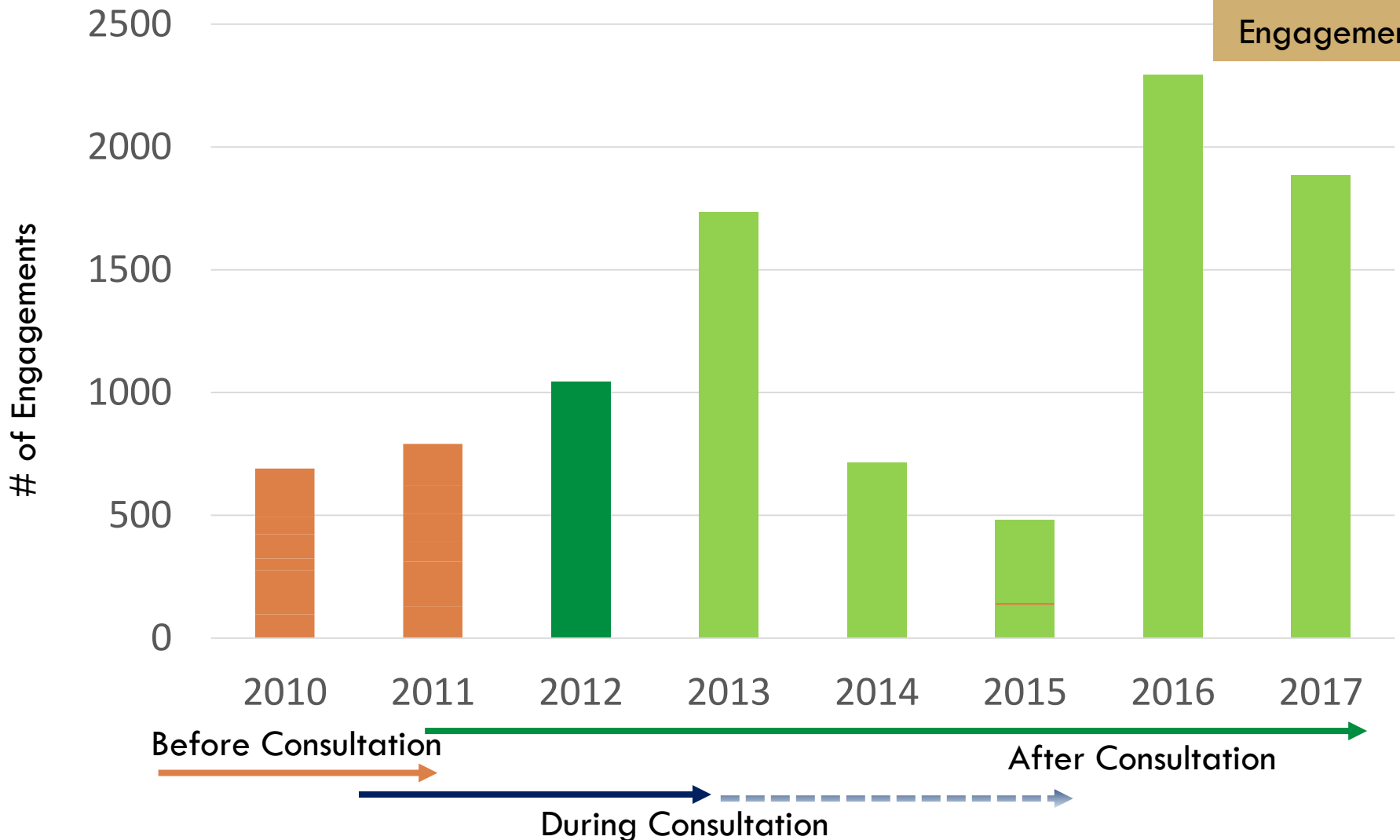
Consultation	Engagement Strategies
<p>Before (2009-2011)</p>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Meetings with national/local government representatives</li> <li><input type="checkbox"/> Meetings with local and national community representatives</li> <li><input type="checkbox"/> Local &amp; national newspapers, local radio, tv</li> <li><input type="checkbox"/> Surveys, workshops</li> <li><input type="checkbox"/> Consultation with leaders, property owners, school meetings</li> <li><input type="checkbox"/> 6 indigenous languages</li> </ul>
<p>During (2011-2013)</p>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Community engagement team – Locals (6 indigenous languages)</li> <li><input type="checkbox"/> Conflict resolution team of experts</li> <li><input type="checkbox"/> Formal consultation with national/local community representatives</li> <li><input type="checkbox"/> Meetings with national/local government representatives</li> <li><input type="checkbox"/> Decision making workshops</li> <li><input type="checkbox"/> Opinion surveys</li> </ul>
<p>After (2011-2017)</p>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Community engagement team – Locals (6 indigenous languages)</li> <li><input type="checkbox"/> Consultation with community leaders and representatives</li> <li><input type="checkbox"/> Conflict resolution team of experts</li> <li><input type="checkbox"/> TV documentaries</li> <li><input type="checkbox"/> Advisors for the National/local government</li> </ul>

# Stakeholder Engagements

193 Community Projects

12

9635 Formal Engagements



# Implementation

13

## Project



## Community Development



# Fairness and Competence of the Process

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*“At the beginning, there was some confusion about the Project and some people thought that it was going to be a mine, then in 2010 Noe [Trecsa’s social representative] appeared **and explained** [to All of] us that the Project will reinforce the electricity. **All community members met in an assembly and we all approved the Project.** This was 3-4 years ago.”*

Member of the Community of Maria Tecun (2015). (Interview made while working at Ramboll)



# Support and Ownership

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*“We have been requesting for many years the support from the local government and have not received”... “TRECOSA is “the first company that gives us something, and we are very thankful to them”.*

COCODE member, Community of San Lorenzo. (Interview made while working at Ramboll)



# Final Thoughts

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- Fairness and Competence - key factors to gain support
- People's feelings of being treated fairly are important
- Understand cultural and competent procedures
- Indigenous people should have a transparent and legitimate role in the decision-making process.
- Direct coordination with communities will promote mutual benefits and facilitates to maintain the social license
- Different levels of engagement
- Ongoing process through the life of the project



Thank you!

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